

# Robust State and Output Feedback Control of Launched MAVs with Unknown Varying External Loads

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#### Abstract

The operation of launched micro aerial vehicles (MAVs) with coaxial rotors is usually subject to unknown varying external disturbance. In this paper, a robust controller is designed to reject such uncertainties and track both position and orientation trajectories. A complete dynamic model of coaxial-rotor MAV is firstly established. When all system states are available, a nonlinear state-feedback control law is proposed based on feedback linearization and Lyapunov analysis. Further, to overcome the practical challenge that certain states are not measurable, a high gain observer is introduced to estimate unavailable states and an output feedback controller is developed. Rigid theoretical analysis verifies the stability of the entire closed-loop system. Additionally, extensive simulation studies have been conducted to validate the feasibility of the proposed scheme.

**Keywords** Coaxial-rotor micro aerial vehicles · Robust control · Filtered tracking errors · Lyapunov method · Nonlinear control

#### 1 Introduction

Growing attention has been paid to mini or micro aerial vehicles (MAVs) along with the development of advanced techniques in recent years [10, 11, 16, 23, 25, 30]. Thanks to their features of small size, low cost and high efficiency of unmanned autonomy, MAVs have been recognized as new approaches to accomplish many tasks, such as homeland security protection, limited environment monitoring and battlefield assessment in both civilian and military applications [1, 8, 9, 22]. However, their applicability is still hindered by the low flight speed and limited cruising ability, especially in certain circumstances that rapid arrival to the destination is needed. To meet this requirement, a new type of unmanned Coaxial-Rotor MAVs (CRMAV) known as Gun Launched Micro Aerial Vehicle (GLMAV) are introduced by French-German Research Institute of Saint-Louis (ISL) [6].

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A GLMAV is conceived as a kind of micro rotorcrafts with an architecture of two coaxial contra-rotating rotors. In its initial flight phase, a GLMAV stands like a projectile with its rotors folded into a shell. With the help of a gun-like delivery device, it can be quickly brought to the target zone. Once arriving at the apogee, the rotors are deployed and start rotating to slow down the projectile for the hovering flight as a MAV. Finally, it can make a return voyage after fulfilling missions. For autonomous operations, a GLMAV has to be equipped with a navigation system like GPS, a power system, and an autopilot for control purposes. Other devices such as vision systems can also be equipped for meeting mission requirements.

Early research efforts have been devoted to verification of designs [6] (e.g., two coaxial contra-rotating rotors architecture, size confirmation, and payload calculation), and modeling the dynamics of a GLMAV during hovering flights via both theoretical and experimental studies [15]. Besides, aerodynamic modeling and parameters identifications [12–14] have also been carried out to complete the dynamic 6 DOF model of a GLMAV.

Numerous attempts have also been made from the perspective of control design. A standard nonlinear backstepping based tracking control strategy is proposed in [3] to enforce desired trajectories of the GLMAV. However, the



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effect of unknown external wind still can not be well handled by the proposed control law. Moreover, adaptive controls have also been discussed to deal with unknown aerodynamic effects [4, 5]. The external forces and moments are estimated online for control purpose. But only constant or slowly time-varying unknown disturbances are considered in simulation tests. Recent advances also include observers (i.e., a liner extended state observer (LESO) and a disturbance observer with finite time convergence (FTDO)) to solve the path tracking problem of a GLMAV despite of unknown aerodynamic effects [20, 21]. The controllers are able to deliver satisfactory performance under a sinelike external disturbance. However, most aforementioned solutions are based on backstepping methodology, which may lead to a time-scale separation [28, 29] or extreme ill conditions of the control problem, since the derivative of the control signal enters into the remaining system [17]. Moreover, one of the widely recognized drawbacks of the backstepping design is that the complexity of the system increases drastically with the system order resulting from the repeated differentiation of the virtual control input [19].

Therefore, the focus of this paper is to provide a highperformance backstepping-free trajectory tracking control strategy for a GLMAV. A mathematical model for control design is firstly derived in hovering flight. Subsequently, an error dynamics based on filtered tracking error is developed, and a nonlinear feedback linearization based control law is discussed. A robust design is introduced to deal with unknown time-varying external disturbance. The disadvantages involved by backstepping method are avoided. Moreover, considering that certain system states are not available, an output feedback control version is designed with the help of a high-gain observer. The tracking performance along with the stability of the entire closedloop system in the presence of unknown time-varying loads is guaranteed via strict Lyapunov analysis.

The rest of this paper is organized as follows: Section 2 discusses modeling of a GLMAV dynamics, while Section 3 presents the procedures of the control law design and the stability analyzes based on Lyapunov theory. Simulation studies are conducted in Sections 4 and 5 concludes the work accomplished in this paper.

#### 2 System Modeling

In this section, an explicit outline of the 6 DOF GLMAV dynamics in hovering fight is introduced. The complete model of GLMAV can be divided into two subsystems: a rigid body model and an aerodynamic model [7]. The reference frames and major modeling parameters considered in this study are diagrammatically shown in Fig. 1.

#### 2.1 Rigid Body Model

An inertial frame  $\{O, x_e, y_e, z_e\}$  and a body-fixed frame  $\{G, x_b, y_b, z_b\}$  are firstly defined for the transformation of position, velocity, angles and angular rates [18]. By reasonably considering the GLMAV to be a rigid body with a fixed mass *m*, its translational and rotational dynamics can be derived by applying Newton-Euler laws based on a 6 DOF model. Therefore, the dynamic model of the GLMAV with respect to the inertial frame can be given by

$$\dot{p} = v$$

$$\dot{v} = a$$

$$ma = R_{\eta}f$$

$$J\dot{\omega} = -\omega \times J\omega + \Gamma$$
(1)

where  $p, v, a \in \mathbb{R}^3$  are the position, linear velocity and acceleration of the center of mass *G* with regard to the reference point *O* in the initial frame.  $f, \Gamma \in \mathbb{R}^3$  are the total forces and moments that act on the vehicle in body-fixed frame,  $\omega \in \mathbb{R}^3$  is the angular velocity, J =diag $[J_{xx}, J_{yy}, J_{zz}] \in \mathbb{R}^{3\times3}$  is the diagonal initial matrix, and  $R_\eta \in \mathbb{R}^{3\times3}$  is the rotation matrix from the body frame to the initial frame, which is a function of the Euler angle set  $\eta = [\phi, \theta, \psi]^T \in \mathbb{R}^3$ 

$$R_{\eta} = \begin{bmatrix} c_{\theta}c_{\psi} & s_{\phi}s_{\theta}c_{\psi} - c_{\phi}s_{\psi} & c_{\phi}s_{\theta}c_{\psi} + s_{\phi}s_{\psi} \\ c_{\theta}s_{\psi} & s_{\phi}s_{\theta}s_{\psi} + c_{\phi}c_{\psi} & c_{\phi}s_{\theta}s_{\psi} - s_{\phi}c_{\psi} \\ -s_{\theta} & s_{\phi}c_{\theta} & c_{\phi}c_{\theta} \end{bmatrix}$$
(2)

where  $\phi$ ,  $\theta$  and  $\psi$  are the roll, pitch and yaw angles, respectively. For convenience, define  $s_{\eta} = \sin \eta$ ,  $c_{\eta} = \cos \eta$ ,  $t_{\eta} = \tan \eta$ . Finally, the attitude dynamics derived by Euler's law in body-fixed frame can be also given by

$$\dot{\eta} = Q_{\eta}\omega \tag{3}$$

with

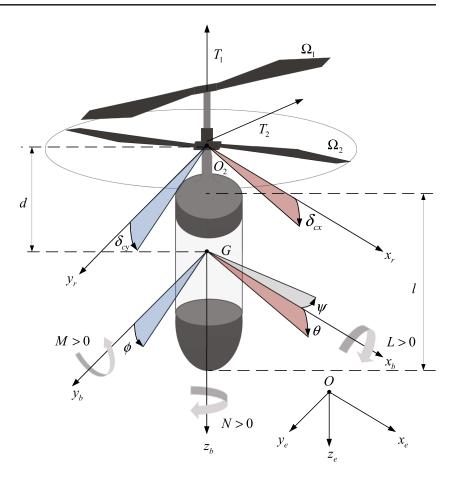
$$Q_{\eta} = \begin{bmatrix} 1 & s_{\phi}t_{\theta} & c_{\phi}t_{\theta} \\ 0 & c_{\phi} & -s_{\phi} \\ 0 & s_{\phi}/c_{\theta} & c_{\phi}/c_{\theta} \end{bmatrix}$$
(4)

#### 2.2 Aerodynamic Model

During flight in outdoor environment, the dynamics of GLMAV is subject to various aerodynamic forces and moments. By neglecting the gyroscopic effect on moments, the major loads usually considered are generated by the coaxial rotors, the cyclic swashplate incidence angles, and the airflow [15]. Therefore, the motion of GLMAV is the



### **Fig. 1** Reference frames and parameters of GLMAV



result of thrusts generated by rotors, aerodynamic forces induced by wind speed, gravitational force.

#### 2.2.1 Forces Generated by Rotors

Thrusts are generated by the upper rotor  $T_1$  and the lower one  $T_2$ . Both two rotors contribute to the vertical force, which can be employed by the GLMAV to control the rate of climb. Moreover, the lower rotor can produce two lateral forces owing to the swashplate incidence angles.

Theoretically, the total rotor thrust T is the vector sum of  $T_1$  and  $T_2$ . However, provided that there commonly exists an aerodynamic coefficient loss in vertical direction due to the airflow interactions, the total thrust is calculated as [15]

$$T = \begin{bmatrix} T_x \\ T_y \\ T_z \end{bmatrix} = \begin{bmatrix} -\beta \sin \delta_{c_y} \cos \delta_{c_x} \Omega_2^2 \\ -\beta \sin \delta_{c_x} \Omega_2^2 \\ \sigma \alpha \Omega_1^2 + \sigma \beta \cos \delta_{c_x} \cos \delta_{c_y} \Omega_2^2 \end{bmatrix}$$
(5)

where  $\Omega_1$  and  $\Omega_2$  are the rotor rotation speeds of the upper rotor and the lower rotor,  $\alpha$  and  $\beta$  are the corresponding aerodynamic coefficients,  $\delta_{c_x}$  and  $\delta_{c_y}$  are the swashplate incidence angles and  $\sigma$  is an efficiency coefficient with  $0.8 \le \sigma \le 1$ .

#### 2.2.2 Forces Acting on the Body

Two major forces acting on the body of a GLMAV are considered: the aerodynamic force  $f_w$  induced by wind speed, and the gravity  $f_g$ .

First, the aerodynamic force  $f_w$  directly results from the total wind speed V, which mainly contains the airflow speed  $V_r$  generated by the rotors, the body velocity  $V_b$ generated by the GLMAV's motion, and the external wind  $V_w$  which is usually unknown [3]. Thus, the total wind speed in body-fixed frame becomes

$$V = V_r - V_b + V_w = \begin{bmatrix} V_x \\ V_y \\ V_z \end{bmatrix}$$
(6)

Therefore,  $f_w$  can be determined by

$$f_{w} = \begin{bmatrix} f_{w_{x}} \\ f_{w_{y}} \\ f_{w_{z}} \end{bmatrix} = \begin{bmatrix} \frac{1}{2}\rho S_{c}C_{x}V_{x}\|V\| \\ \frac{1}{2}\rho S_{c}C_{y}V_{y}\|V\| \\ \frac{1}{2}\rho S_{s}C_{z}V_{z}\|V\| \end{bmatrix}$$
(7)

where  $S_c$  is the cylinder surface,  $S_s$  the half-sphere surface, and  $C_x$ ,  $C_y$ ,  $C_z$  the aerodynamic coefficients.

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The gravity of a GLMAV in the body-fixed frame can be written as

$$f_g = mg \begin{bmatrix} -\sin\theta\\\cos\theta\sin\phi\\\cos\theta\cos\phi \end{bmatrix} = R_\eta^T \begin{bmatrix} 0\\0\\mg \end{bmatrix}$$
(8)

Generally, the aerodynamic force  $f_w$  is difficult to identify in practice, and thus it is regarded as the unknown external disturbance acting on the body of a GLMAV, which is compactly denoted by  $f_{ext} = f_w$  in the body frame, or  $F_{ext}$  in the inertial frame, such that  $F_{ext} = R_\eta f_{ext}$ .

Thus, the total force can be now given as

$$f = T + f_g + f_{ext} \tag{9}$$

#### 2.2.3 Moments Acting on the Body

In this study, the major moment acting on the body of a GLAMV is considered to be induced by the rotors. As a result, the roll moment L and the pith moment M produced by the longitudinal and lateral tilts of swashplate can be presented as a cross product of the distance vector from the gravity center G to the lower rotor rotation center  $O_2$  and the lower rotor thrust. Meanwhile, the yaw moment depends on the speed difference between the two rotors and the yaw aerodynamic coefficients. Therefore, the moment vector can be given as

$$\tau = \begin{bmatrix} L\\ M\\ N \end{bmatrix} = \begin{bmatrix} -d\beta \sin \delta_{c_x} \Omega_2^2\\ d\beta \sin \delta_{c_y} \cos \delta_{c_x} \Omega_2^2\\ \gamma_1 \Omega_1^2 + \gamma_2 \Omega_2^2 \end{bmatrix}$$
(10)

where *d* is the distance between center poins *G* and  $O_2$ ,  $\gamma_1$ ,  $\gamma_2$  the yaw aerodynamic coefficients.

Similarly, by considering an unknown external moment  $M_{ext}$  resulted from the unknown forces in the body frame, the total moments acting on the GLMAV are summarized as

 $\Gamma = \tau + M_{ext} \tag{11}$ 

#### 2.3 System Dynamics

Provided that the contribution of lateral forces induced by the incidence angles of the swashplate is negligible compared to the main vertical force  $T_z$ , the lateral forces  $T_x$  and  $T_y$  in Eq. 5 are both omitted for simplicity [5], or  $T_x=T_y=0$ . Hence, the forces acting on the GLMAV are simplified as

$$f = T_z e_3 + R_\eta^T mg e_3 + f_{ext}$$
<sup>(12)</sup>

with  $e_3 = [0, 0, 1]^T$ 

Thus, the dynamics for control design can be rewritten as

$$\dot{p} = v 
\dot{v} = \frac{1}{m} (T_z R_\eta e_3 + mg e_3 + F_{ext}) 
\dot{\eta} = Q_\eta \omega 
\dot{\omega} = J^{-1} (-\omega \times J\omega + \tau + M_{ext})$$
(13)

In this study,  $T_z$  and  $\tau$  are regarded as the control inputs of the GLMAV system. Once  $T_z$  and  $\tau$  are determined by certain control law, the four actual control signals  $\Omega_1$ ,  $\Omega_2$ ,  $\delta_{c_x}$  and  $\delta_{c_y}$  acting on the GLMAV can be readily calculated. As a matter of fact, by considering that the swashplate incidence angles are small enough, paraxial approximation is applicable [27], such that  $sin\zeta \approx \zeta$ ,  $cos\zeta \approx 1$ . Thus, the following relationship can be established by recalling Eqs. 5 and 10.

$$\Omega_{1}^{2} = \frac{T_{z} - \sigma \beta \Omega_{2}^{2}}{\sigma \alpha N_{z} - \gamma_{1} T_{z}}$$

$$\Omega_{2}^{2} = \frac{\sigma \alpha N_{z} - \gamma_{1} T_{z}}{\sigma \alpha N_{z} - \sigma \beta \gamma_{1}}$$

$$\delta_{c_{x}} = \frac{-L}{d\beta \Omega_{2}^{2}}$$

$$\delta_{c_{y}} = \frac{M}{d\beta \Omega_{2}^{2}}$$
(14)

*Remark 1* It has to be noted that the un-modeled disturbances  $F_{ext}$  and  $M_{ext}$  are assumed to be time-varying functions, instead of constants in literature [4, 24]. They will be compensated by the robust control design introduced in the following section.

#### **3 Control Design**

In this section, a robust controller is proposed for trajectory tracking of GLMAV based on Lyapunov method. The objective is to manipulate the GLMAV (13) to track a desired translational and rotational trajectory, or equivalently, to track a desired position  $p_d = [x_d, y_d, z_d]^T$  and a desired yaw angle  $\psi_d$ . The whole diagram of the closed-loop control system is shown in Fig. 2. Before proceeding, following mild assumptions are present.

**Assumption 1** The unknown disturbances  $M_{ext}$ , and  $F_{ext}$ , along with the first-order and second-order derivatives are bounded, such that  $|M_{ext}| \leq \overline{M}$ ,  $|F_{ext}| \leq \overline{F}_0$ ,  $|\dot{F}_{ext}| \leq \overline{F}_1$ ,  $|\ddot{F}_{ext}| \leq \overline{F}_2$ , where  $|\cdot|$  denotes the  $L_1$ -norm.

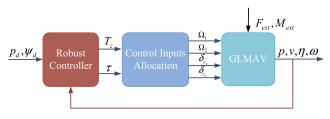


Fig. 2 Diagram of the closed-loop control system of GLMAV

**Assumption 2** The desired posture  $p_d = [x_d, y_d, z_d]^T$  and  $\psi_d$  are smooth and bounded along with their derivatives.

#### 3.1 Filtered Tracking Error Dynamics

Firstly, the position tracking error  $\delta_{p_0}$  is defined as

$$\delta_{p_0} = p - p_d \tag{15}$$

Then, the filtered position tracking error is defined as

$$r_p = k_{p_0} \delta_{p_0} + k_{p_1} \delta_{p_1} + k_{p_2} \delta_{p_2} + \delta_{p_3}$$
(16)

whose derivative can be derived as

$$\dot{r}_p = k_{p_0}\delta_{p_1} + k_{p_1}\delta_{p_2} + k_{p_2}\delta_{p_3} + \delta_{p_4} \tag{17}$$

with  $\dot{\delta}_{p_0} = \delta_{p_1}$ ,  $\dot{\delta}_{p_1} = \delta_{p_2}$ ,  $\dot{\delta}_{p_2} = \delta_{p_3}$ ,  $\dot{\delta}_{p_3} = \delta_{p_4}$  and  $k_{p_0}$ ,  $k_{p_1}, k_{p_2} \in \mathbb{R}^+$  are positive constants to meet that  $s^3 + k_{p_2}$  $s^2 + k_{p_1}s + k_{p_0}$  is Hurwitz. Thus,  $\delta_{p_i} \to 0$  asymptotically as  $r_p \to 0$ .

By differentiating  $\delta_{p_0}$  and recalling the system dynamics (13), one has

$$\begin{split} \delta_{p_1} &= v - \dot{p}_d \\ \delta_{p_2} &= \frac{1}{m} (T_z R_\eta e_3 + mg e_3 + F_{ext}) - \ddot{p}_d \\ \delta_{p_3} &= \frac{1}{m} \left( \dot{T}_z R_\eta e_3 + T_z R_\eta \tilde{\omega} e_3 + \dot{F}_{ext} \right) - p_d^{(3)} \end{split}$$
(18)

where  $R_{\eta}\tilde{\omega} = \dot{R}_{\eta}$  with  $\tilde{\omega}$  being a skew symmetric matrix of the vector  $\omega$  as

$$\tilde{\omega} = \begin{bmatrix} 0 & -\omega_z & \omega_y \\ \omega_z & 0 & -\omega_x \\ -\omega_y & \omega_x & 0 \end{bmatrix}$$
(19)

Proceeding with differentiating  $\delta_{p_3}$  and recalling Eq. 13 yield

$$\delta_{p_4} = \frac{1}{m} \left( \ddot{T}_z R_\eta e_3 - T_z R_\eta \tilde{e}_3 J^{-1} \tau + 2 \dot{T}_z R_\eta \tilde{\omega} e_3 + T_z R_\eta \tilde{\omega}^2 e_3 + T_z R_\eta \tilde{e}_3 J^{-1} (\omega \times J \omega - M_{ext}) \right) (20) \\ + \frac{\ddot{F}_{ext}}{m} - p_d^{(4)}$$

where  $\tilde{e}_3$  is the skew symmetric matrix of the vector  $e_3$  as

$$\tilde{e}_3 = \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$
(21)

Similarly, the orientation tracking error  $\delta_{\psi_0}$  is defined as

$$\delta_{\psi_0} = \psi - \psi_d = e_3^T \eta - \psi_d \tag{22}$$

Subsequently, the filtered orientation tracking error and its derivative can be defined as

$$r_{\psi} = k_{\psi_0} \delta_{\psi_0} + \delta_{\psi_1} \tag{23}$$

$$\dot{r}_{\psi} = k_{\psi_0} \delta_{\psi_1} + \delta_{\psi_2} \tag{24}$$

with  $\dot{\delta}_{\psi_0} = \delta_{\psi_1}$ ,  $\dot{\delta}_{\psi_1} = \delta_{\psi_2}$  and  $k_{\psi_0}$  being a positive constant. Thus,  $\delta_{\psi_i} \to 0$  asymptotically as  $r_{\psi} \to 0$ .

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Recalling Eq. 13, the first-order and second-order derivatives of the orientation tracking error  $\delta_{\psi_0}$  can be calculated as

$$\delta_{\psi_1} = e_3^T Q_\eta \omega - \dot{\psi}_d$$

$$\delta_{\psi_2} = e_3^T \left( \dot{Q}_\eta \omega + Q_\eta J^{-1} (-\omega \times J\omega + \tau + M_{ext}) \right)$$

$$- \ddot{\psi}_d$$
(25)
(26)

#### 3.2 State Feedback Control Design

It is firstly assumed that all states of the GLMAV system are available for control design as feedback signals, i.e, p, v, a,  $\dot{a}$ ,  $\eta$ ,  $\omega$  are all accessible. In this way, a state feedback control design is developed in this subsection.

The objective of the controller is to achieve  $r_p \rightarrow 0$  and  $r_{\psi} \rightarrow 0$ . Firstly, substituting Eqs. 18 and 20 into Eq. 17 yields

$$\dot{r}_{p} = k_{p_{0}}\delta_{p_{1}} + k_{p_{1}}\delta_{p_{2}} + k_{p_{2}}\delta_{p_{3}} - p_{d}^{(4)} + \frac{F_{ext}}{m} + \frac{1}{m} \left( \ddot{T}_{z}R_{\eta}e_{3} - T_{z}R_{\eta}\tilde{e}_{3}J^{-1}\tau + 2\dot{T}_{z}R_{\eta}\tilde{\omega}e_{3} + T_{z}R_{\eta}\tilde{\omega}^{2}e_{3} + T_{z}R_{\eta}\tilde{e}_{3}J^{-1}(\omega \times J\omega - M_{ext}) \right)$$
(27)

Thus, if the control law for position tracking is designed to satisfy

$$= \frac{\frac{1}{m} \left( \ddot{T}_{z} R_{\eta} e_{3} - T_{z} R_{\eta} \tilde{e}_{3} J^{-1} \tau \right) }{-\frac{1}{m} \left( 2 \dot{T}_{z} R_{\eta} \tilde{\omega} e_{3} + T_{z} R_{\eta} \tilde{\omega}^{2} e_{3} + T_{z} R_{\eta} \tilde{e}_{3} J^{-1} (\omega \times J \omega) \right) - \mathrm{sgn}(r_{p}) \Theta_{p} - c_{p} r_{p} - \left( k_{p_{0}} \delta_{p_{1}} + k_{p_{1}} \delta_{p_{2}} + k_{p_{2}} \delta_{p_{3}} \right) + p_{d}^{(4)}$$

$$(28)$$

one has the dynamics of the filtered position tracking error as

$$\dot{r}_p = -c_p r_p - \operatorname{sgn}(r_p)\Theta_p + \Phi_p \tag{29}$$

where  $c_p$  is an user-defined positive constant,

$$\Theta_p = \frac{1}{m} \left( |T_z R_\eta \tilde{e}_3 J^{-1}| \bar{M} + \bar{F}_2 \right)$$

and

$$\Phi_p = \frac{1}{m} \left( -T_z R_\eta \tilde{e}_3 J^{-1} M_{ext} + \ddot{F}_{ext} \right).$$

Furthermore, the dynamics of the filtered orientation tracking error can be obtained by combining Eqs. 24 - 26 as

$$\dot{r}_{\psi} = k_{\psi_0} \delta_{\psi_1} - \ddot{\psi}_d + e_3^T Q_\eta J^{-1} \tau + e_3^T \left( \dot{Q}_\eta \omega + Q_\eta J^{-1} (-\omega \times J\omega + M_{ext}) \right)$$
(30)

Similarly, design the control law for orientation tracking to satisfy

$$e_3^T Q_\eta J^{-1} \tau = e_3^T \left( -\dot{Q}_\eta \omega + Q_\eta J^{-1} (\omega \times J\omega) \right) -\operatorname{sgn}(r_\psi) \Theta_\psi - c_\psi r_\psi - k_{\psi_0} \delta_{\psi_1} + \ddot{\psi}_d$$
(31)

which give us the dynamics of the orientation position tracking error as

$$\dot{r}_{\psi} = -c_{\psi}r_{\psi} - \operatorname{sgn}\left(r_{\psi}\right)\Theta_{\psi} + \Phi_{\psi}$$
(32)

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where  $c_{\psi}$  is an user-defined positive constant,  $\Theta_{\psi} = |e_3^T Q_{\eta} J^{-1}| \overline{M}$ , and  $\Phi_{\psi} = e_3^T Q_{\eta} J^{-1} M_{ext}$ .

Therefore, Combining Eqs. 28 and 31, the two control inputs can be compactly obtained by

$$\begin{bmatrix} \tau \\ \ddot{T}_z \end{bmatrix} = C^{-1}X = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix}^{-1} \begin{bmatrix} X_1 \\ X_2 \end{bmatrix}$$
(33)

with

$$\begin{aligned} X_{1} &= -\frac{1}{m} \left( 2\dot{T}_{z} R_{\eta} \tilde{\omega} e_{3} + T_{z} R_{\eta} \tilde{\omega}^{2} e_{3} \\ &+ T_{z} R_{\eta} \tilde{e}_{3} J^{-1} (\omega \times J \omega) \right) - \operatorname{sgn}(r_{p}) \Theta_{p} - c_{p} r_{p} \\ &- (k_{p_{0}} \delta_{p_{1}} + k_{p_{1}} \delta_{p_{2}} + k_{p_{2}} \delta_{p_{3}}) + p_{d}^{(4)} \\ X_{2} &= e_{3}^{T} \left( -\dot{Q}_{\eta} \omega + Q_{\eta} J^{-1} (\omega \times J \omega) \right) \\ &- \operatorname{sgn}\left( r_{\psi} \right) \Theta_{\psi} - c_{\psi} r_{\psi} - k_{\psi_{0}} \delta_{\psi_{1}} + \ddot{\psi}_{d} \end{aligned}$$
(34)  
$$C_{11} &= -\frac{1}{m} T_{z} R_{\eta} \tilde{e}_{3} J^{-1} \\ C_{12} &= \frac{1}{m} R_{\eta} e_{3} \\ C_{21} &= e_{3}^{T} Q_{\eta} J^{-1} \\ C_{22} &= 0 \end{aligned}$$

*Remark 2* It should be noted that the position tracking controller is based on establishing a dynamics of  $T_z$ , and  $\ddot{T}_z$  is employed as the augmented control signal. The vertical thrust  $T_z$  and its first derivative  $\dot{T}_z$  are considered as the internal states of the controller and employed as feedback.

*Remark 3* The matrix C in Eq. 33 must be invertible for the acquirement of the control inputs, the determinant of which can be calculated as

$$\det(C) = -\frac{1}{m^3} \frac{1}{J_{xx} J_{yy} J_{zz}} T_z^2 \frac{\cos \phi}{\cos \theta}$$
(35)

It is straightforward to find that there exist two "failure situations", in which det(C) = 0: 1) The vertical thrust equals to zero; 2) The GLMAV is overturned [3]. In this study, the desired posture is designed to avoid the two scenarios and thus they are out of the scope of discussion.

Finally, the stability analysis for the control laws designed in Eqs. 33 and 34 can be given by the following theorem.

**Theorem 1** Let Assumptions 1 and 2 hold. Consider a GLMAV system represented by Eq. 13 with the state vector available. If the controller is designed as Eq. 33, then, all system signals are bounded, and the tracking errors converge to zero asymptotically, i.e.,  $\delta_{p_0} \rightarrow 0$ ,  $\delta_{\psi_0} \rightarrow 0$ , as  $t \rightarrow \infty$ .

*Proof* Please see the Appendix.

#### 3.3 Output Feedback Control Design with High-Gain Observer

In practice, not all states of the GLMAV system are available. In general, only the position states p, v and the attitude states  $\eta, \omega$  are accessible for feedback. As a consequence, the state-feedback based controller designed in the previous subsection cannot be directly implemented. In this case, a high-gain state observer is designed for estimating the unavailable states, whose outputs are subsequently routed to the controller.

Firstly, rewrite the system state  $\delta_{p_4}$  in Eq. 20 as

$$\delta_{p_4} = \chi + \Phi_p \tag{36}$$

with

$$\chi = \frac{1}{m} \left( \ddot{T}_{z} R_{\eta} e_{3} - T_{z} R_{\eta} \tilde{e}_{3} J^{-1} \tau \right) + \frac{1}{m} \left( 2 \dot{T}_{z} R_{\eta} \tilde{\omega} e_{3} + T_{z} R_{\eta} \tilde{\omega}^{2} e_{3} + T_{z} R_{\eta} \tilde{e}_{3} J^{-1} (\omega \times J \omega) \right) - p_{d}^{(4)}$$
(37)

is a known function.

Subsequently, the structure of the high-gain observer to identify the unknown states  $\delta_{p_2}$  and  $\delta_{p_3}$  is shown as

$$\hat{\delta}_{p_1} = \hat{\delta}_{p_2} + h_1(\delta_{p_1} - \hat{\delta}_{p_1}) 
\hat{\delta}_{p_2} = \hat{\delta}_{p_3} + h_2(\delta_{p_1} - \hat{\delta}_{p_1}) 
\hat{\delta}_{p_3} = \chi + h_3(\delta_{p_1} - \hat{\delta}_{p_1})$$
(38)

where  $\hat{\delta}_{p_1}$ ,  $\hat{\delta}_{p_2}$ , and  $\hat{\delta}_{p_3}$  are respectively the estimate of  $\delta_{p_1}$ ,  $\delta_{p_2}$  and  $\delta_{p_3}$ .  $h_1, h_2, h_3 \in \mathbb{R}^+$  are positive constant observer gains satisfying  $1 \ll h_1 \ll h_2 \ll h_3$ .

The observer errors are defined as  $e_p = [e_{p_1}^T, e_{p_2}^T, e_{p_3}^T]^T$ with  $e_{p_i} = \delta_{p_i} - \hat{\delta}_{p_i}$ , i = 1, 2, 3. The error dynamics can be delivered from the definitions and Eq. 38 as

$$\dot{e}_{p_1} = e_{p_2} - h_1 e_{p_1} 
\dot{e}_{p_2} = e_{p_3} - h_2 e_{p_1} 
\dot{e}_{p_3} = \Phi_p - h_3 e_{p_1}$$
(39)

which can be compactly written as

$$\dot{e}_p = Ae_p + B\Phi_p \tag{40}$$

with

$$A = \begin{bmatrix} -h_1 I_3 & I_3 & 0_3 \\ -h_2 I_3 & 0_3 & I_3 \\ -h_3 I_3 & 0_3 & 0_3 \end{bmatrix} \qquad B = \begin{bmatrix} 0_3 & 0_3 & I_3 \end{bmatrix}^T$$
(41)

where  $I_3 \in \mathbb{R}^{3\times 3}$  is the identity matrix, and  $0_3 \in \mathbb{R}^{3\times 3}$  is the null matrix. *A* is chosen to be a Hurwitz matrix, thus there exists a symmetric, positive definite matrix  $P \in \mathbb{R}^{9\times 9}$  s.t.

$$A^T P + P A = -\lambda I_9 \tag{42}$$

where  $\lambda \in \mathbb{R}^+$  is a positive constant, and  $I_9 \in \mathbb{R}^{9 \times 9}$  is the identify matrix.

Moreover, it has to be noted that the discontinuous function  $sgn(\cdot)$  in the control law (33) will require infinite

actuator bandwidth and result in chattering effects [31]. To overcome this difficulty, the following continuous hyperbolic function  $tanh(\cdot)$  is employed instead to replace  $sgn(\cdot)$ , where

$$\tanh(u) = \frac{e^u - e^{-u}}{e^u + e^{-u}}$$
(43)

Following Lemma is then presented for stability analysis.

**Lemma 1** ([26]) *The following inequality holds for any constant*  $\varepsilon > 0$  *and for any*  $u \in \mathbb{R}$ 

$$0 \le |u| - u \tanh\left(\frac{u}{\varepsilon}\right) \le \kappa\varepsilon \tag{44}$$

where  $\kappa$  satisfies  $\kappa = e^{-(\kappa+1)}$ ; i.e.,  $\kappa = 0.2785$ .

Thereafter, provided that the estimates  $\hat{\delta}_{p_2}$  and  $\hat{\delta}_{p_3}$  are utilized to replace the unknown states  $\delta_{p_2}$  and  $\delta_{p_3}$ , the output feedback controller can be given as

$$\begin{bmatrix} \tau \\ \ddot{T}_z \end{bmatrix} = C^{-1}\overline{X} = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix}^{-1} \begin{bmatrix} \overline{X}_1 \\ \overline{X}_2 \end{bmatrix}$$
(45)

with

$$\overline{X}_{1} = -\frac{1}{m} \left( 2\dot{T}_{z} R_{\eta} \tilde{\omega} e_{3} + T_{z} R_{\eta} \tilde{\omega}^{2} e_{3} + T_{z} R_{\eta} \tilde{e}_{3} J^{-1}(\omega \times J \omega) \right) - \tanh \left( \frac{\hat{r}_{p}}{\varepsilon_{p}} \right) \Theta_{p} - c_{p} \hat{r}_{p} - \left( k_{p_{0}} \delta_{p_{1}} + k_{p_{1}} \hat{\delta}_{p_{2}} + k_{p_{2}} \hat{\delta}_{p_{3}} \right) + p_{d}^{(4)}$$

$$\overline{X}_{2} = e_{3}^{T} \left( -\dot{Q}_{\eta} \omega + Q_{\eta} J^{-1}(\omega \times J \omega) \right) - \tanh \left( \frac{r_{\psi}}{\varepsilon_{\psi}} \right) \Theta_{\psi} - c_{\psi} r_{\psi} - k_{\psi_{0}} \delta_{\psi_{1}} + \ddot{\psi}_{d}$$
(46)

At last, the stability analysis for the output feedback control law designed in Eqs. 45 and 46 is given by the following theorem.

**Theorem 2** Let Assumptions 1 and 2 hold. Consider a GLMAV system represented by Eq. 13 with only the system states p, v,  $\eta$  and  $\omega$  available. If an output feedback controller is designed as Eq. 45 with a state observer in Eq. 38, then, the tracking errors, as well as all system signals, are uniformly ultimately bounded (UUB). Moreover, the tracking errors can be arbitrarily reduced by increasing control gains.

*Proof* Please see the Appendix.

#### **4 Simulation Results**

In order to verify the performance of the proposed controller, extensive simulation studies are conducted on a complete GLMAV model in Eq. 1. The parameters adopted



Table 1 Parameters of GLMAV for simulations

Paran

α β γ1 γ2

 $\rho \sigma$ 

 $C_x$  $C_y$ 

 $C_z$ dD

g $J_{xx}$ 

 $J_{yy}$ 

 $J_{zz}$ 

l m

 $S_c$ 

 $S_s$ 

Sprop

neter	Value	Unit
	$-3.6835 \times 10^{-5}$	$N.rad^{-2}.s^{-2}$
	$-3.7760 \times 10^{-5}$	$N.rad^{-2}.s^{-2}$
	$1.4765 \times 10^{-6}$	$N.m.rad^{-2}.s^{-2}$
	$-1.3266 \times 10^{-6}$	$N.m.rad^{-2}.s^{-2}$
	1.204	$kg.m^{-3}$
	1	_
	0.09	_
	0.09	_
	0.2	_
	$67.6 \times 10^{-3}$	m
	$40 \times 10^{-3}$	m
	9.81	$m.s^{-2}$
	$1.383 \times 10^{-3}$	$kg.m^2$
	$1.383 \times 10^{-3}$	$kg.m^2$
	$2.72 \times 10^{-4}$	$kg.m^2$
	$130 \times 10^{-3}$	m
	$410 \times 10^{-3}$	kg
		~

 $m^2$ 

 $m^2$ 

 $m^2$ 

in simulations are tabulated in Table 1 [7]. A trajectory tracking task in a hovering flight is considered in this study, and the initial conditions are set as:  $p_0 = [0 \ 0 \ 100]^T$ ,  $v_0 = [0 \ 0 \ 0]^T$ ,  $a_0 = [0 \ 0 \ 0]^T$ ,  $\eta_0 = [0 \ 0 \ 0]^T$  and  $\omega_0 = [0 \ 0 \ 0]^T$ . For simplicity, only the output-feedback controller is implemented in simulation tests and the corresponding parameters of the controller are selected as  $c_p = 2.5$ ,  $k_{p_0} = 1.5$ ,  $k_{p_1} = 2.5$ ,  $k_{p_2} = 2.5$ ,  $c_{\psi} = 2.5$ ,  $k_{\psi_0} = 2.5$ ,  $\bar{F}_2 = 5 \times 10^2$ ,  $\bar{M} = 6 \times 10^{-3}$ ,  $\varepsilon_p = 2 \times 10^2$ ,  $\varepsilon_{\psi} = 2 \times 10^{-2}$ ,  $h_1 = 50$ ,  $h_2 = 500$  and  $h_3 = 5000$ .

 $10.4 \times 10^{-3}$ 

 $34 \times 10^{-2}$ 

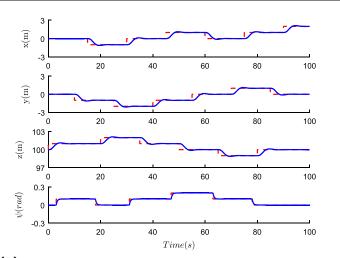
 $5.0 imes 10^{-3}$ 

Firstly, the performance of the proposed controller is evaluated in four scenarios: 1) flight without external disturbance; 2) flight with sine-like external disturbance; 3) flight with sensing noise; 4) flight with turbulent disturbance. Initially, the MAV is supposed to run in the operational phase. It is maneuvered to track a piecewise continuous desired trajectory [2]. Additionally, a path tracking comparison study in opposite to [20, 21] has also been carried out to validate the benefits of the proposed scheme.

#### 4.1 Scenario 1: Flight without External Disturbance

Firstly, consider an ideal case where no external disturbance is acting on the body of the GLMAV, i.e.,  $F_{ext}$ ,  $M_{ext} = 0$ . The position and orientation tracking performance of the proposed robust controller in hovering flight is illustrated

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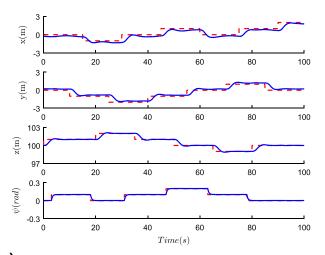
(a) System outputs x, y, z and  $\psi$  (solid line) and the desired trajectories  $x_d, y_d, z_d$  and  $\psi_d$  (dashed line).

Fig. 3 Simulation result without external loads

in Fig. 3a. It can be found that the vehicle is able to converge to the desired curve with settling time of less than 5 seconds. Moreover, the trajectories of control inputs are also demonstrated in Fig. 3b, which shows the boundedness of control signals and the stability of the closed-loop system. Physical limits of the actuators for safe flights are also plotted as dashed lines.

#### 4.2 Scenario 2: Flight with Sine-like External Disturbance

In practice, the MAV is usually subject to varying external disturbance. In this scenario, to verify the robustness of the proposed controller, consider unknown external loads  $F_{ext}$ 



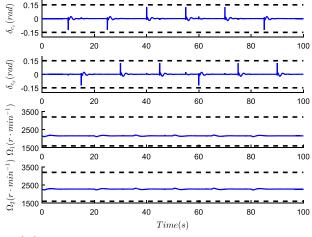
(a) System Outputs x, y, z and  $\psi$  (solid line) and the desired trajectories  $x_d, y_d, z_d$  and  $\psi_d$  (dashed line).

Fig. 4 Simulation result with sine-like external loads

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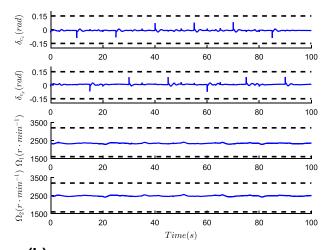
**(b)** Input signals  $\delta_{c_x}$ ,  $\delta_{c_y}$ ,  $\Omega_1$  and  $\Omega_2$  (solid line).

and  $M_{ext}$  on the system dynamics during flight. Specifically, sine-like signals [20] are adopted in this case as following

$$F_{ext} = \begin{bmatrix} 1+0.2\sin(0.5t) \\ 1+0.2\sin(0.5t) \\ 1+0.2\sin(0.5t) \end{bmatrix} (N)$$

$$M_{ext} = \begin{bmatrix} 1.5+0.3\sin(0.5t) \\ 1.5+0.3\sin(0.5t) \\ 1.5+0.3\sin(0.5t) \end{bmatrix} (mN.m)$$
(47)

The generated output trajectories of the proposed scheme are plotted in Fig. 4a. It can be observed that, when the proposed robust controller is enforced, the GLMAV's position and orientation still can rapidly track the desired



**(b)** Input signals  $\delta_{c_x}$ ,  $\delta_{c_y}$ ,  $\Omega_1$  and  $\Omega_2$  (solid line).

trajectories even in the presence of unknown varying external loads. To testify the stability of the whole system, the control signals are also provided in Fig. 4b. Due to the involvement of unknown external loads, higher regulating frequency in the control inputs can be observed for compensating the additional disturbance.

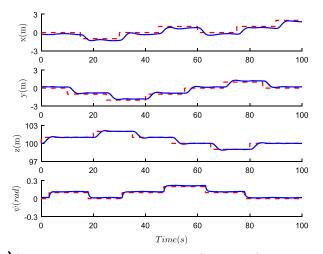
#### 4.3 Scenario 3: Flight with Sensing Noise

Moreover, considering that there always exists sensing noise in signal measurements, a numerical test similar to Scenario 2 but with measurement noise in output signals is conducted. Specifically, the system outputs (i.e., p, v,  $\eta$ and  $\omega$ ) are corrupted with 3% white noise. The generated trajectories depicted in Fig. 5a demonstrate alike tracking performance compared with Fig. 4a, even in the presence of sensing noise. Meanwhile, certain chattering phenomenon appears in the control signals plotted in Fig. 5b, which is a result of the high-frequency measurement noise.

## 4.4 Scenario 4: Flight with External Turbulent Disturbance

This simulation study is conducted by considering the fact that the stochastic winds are usually the major cause of external disturbance appearing in the flight of GLMAVs. In order to better imitate the actual flight, a turbulent wind is introduced into each body axis of the system dynamics via Eqs. 6 and 7. The wind is generated by Wind Turbine Blockset in Matlab Simulation based on the Kaimal turbulent model, whose profile is shown in Fig. 6. It has a mean value of 20m/s and turbulence intensity of 12%.

The simulation result in Fig. 7a shows satisfactory tracking performance of the controller despite of stochastic



(a) System outputs x, y, z and  $\psi$  (solid line) and desired trajectories  $x_d, y_d, z_d$  and  $\psi_d$  (dashed line).



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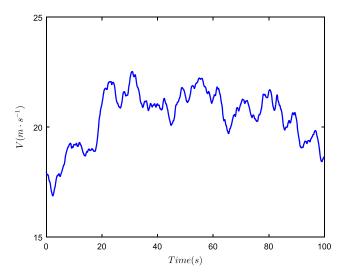
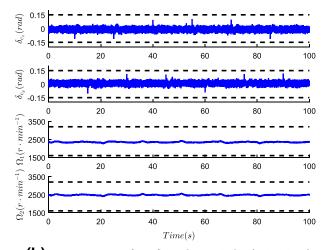


Fig. 6 Turbulent wind profile for scenario 3

turbulent winds. Fast convergence to the desired trajectory can be observed and the stability of the closed-loop system is further demonstrated in Fig. 7b. The proposed controller has exhibited strong robustness with respect to external disturbance in various conditions.

## 4.5 Scenario 5: Trajectory Tracking Comparison with Current Approaches

In this scenario, a comparison study between the proposed controller and the hierarchical approaches in [20, 21] is conducted for path tracking task under varying external loads adopted in Scenario 2. The output trajectories of all approaches are plotted in Figs. 8 and 9, respectively in 3D and top view. Although all of them can achieve satisfactory



(**b**) Input signals  $\delta_{c_x}$ ,  $\delta_{c_y}$ ,  $\Omega_1$  and  $\Omega_2$  (solid line).

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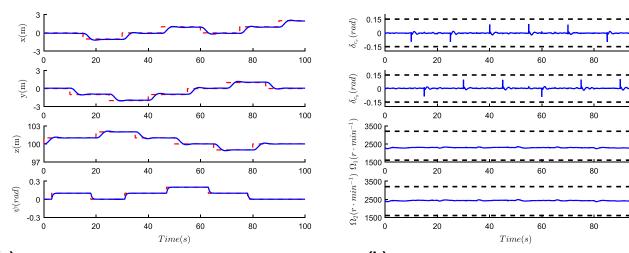
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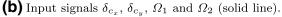
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- -



(a) System outputs x, y, z and  $\psi$  (solid line) and desired trajectories  $x_d, y_d, z_d$  and  $\psi_d$  (dashed line).

Fig. 7 Simulation result with external turbulent winds



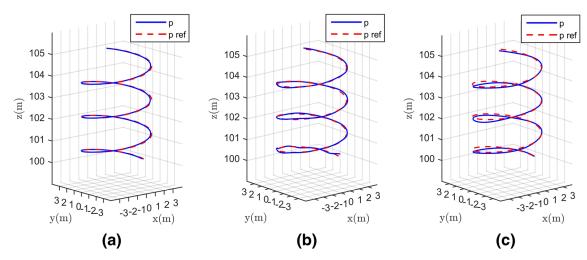


Fig. 8 Comparison result in 3D view (a the proposed approach; b controller with LESO in [20]; c controller with FTDO in [21])

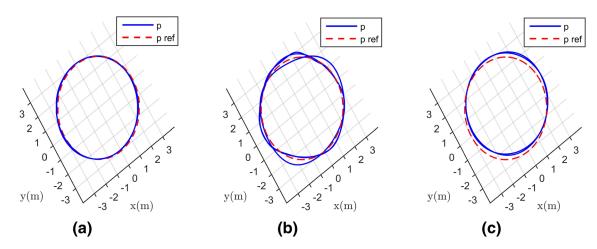


Fig. 9 Comparison result in top view (a the proposed approach; b controller with LESO in [20]; c controller with FTDO in [21])



tracking performance, it can be seen that the proposed controller delivers the best result.

#### 5 Conclusion

In this paper, how to achieve satisfactory tracking control performance of an unmanned coaxial-rotor MAV in a highly uncertain environment is investigated. A detailed dynamic model with unknown external loads is firstly established for control design purpose. A nonlinear robust controller is then proposed based on filtered tracking errors and Lyapunov analysis provided that all system states are available. To overcome the practical challenge that certain states are not measurable, a high gain observer is introduced to estimate derivatives of the vehicle position and an output feedback controller is developed. Theoretical analysis verifies the stability of the entire closed-loop system. Additionally, extensive simulation studies illustrate that the proposed scheme can achieve a good performance in terms of position and orientation trajectory tracking.

Future work includes designing mechanical prototypes to conduct real-world experiments and proposing more intelligent control algorithms for the vehicle with severe system uncertainties (both external aerodynamic disturbances and undetermined system parameters).

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#### Appendix

*Proof of Theorem 1* Considering a candidate Lyapunov function

$$V = V_p + V_{\psi} \tag{48}$$

with

$$V_p = \frac{1}{2} r_p^T r_p, \quad V_{\psi} = \frac{1}{2} r_{\psi}^T r_{\psi}$$
 (49)

Recalling Eq. 29, the time derivative of  $V_p$  is given by

$$\dot{V}_{p} = r_{p}^{T} \dot{r}_{p}^{T} 
= -c_{p} r_{p}^{T} r_{p} + r_{p}^{T} \Phi_{p} - r_{p}^{T} \operatorname{sgn}(r_{p}) \Theta_{p} 
\leq -c_{p} r_{p}^{T} r_{p} + |r_{p}^{T}| \Theta_{p} - r_{p}^{T} \operatorname{sgn}(r_{p}) \Theta_{p} 
\leq -c_{p} r_{p}^{T} r_{p}$$
(50)

Further, Eq. 32 can be employed to obtain the derivative of  $V_{\psi}$ 

$$\dot{V}_{\psi} = r_{\psi}^{T} \dot{r}_{\psi}^{T} 
= -c_{\psi} r_{\psi}^{T} r_{\psi} + r_{\psi}^{T} \Phi_{\psi} - r_{\psi}^{T} \operatorname{sgn} (r_{\psi}) \Theta_{\psi} 
\leq -c_{\psi} r_{\psi}^{T} r_{\psi} + |r_{\psi}^{T}| \Theta_{\psi} - r_{\psi}^{T} \operatorname{sgn} (r_{\psi}) \Theta_{\psi} 
\leq -c_{\psi} r_{\psi}^{T} r_{\psi}$$
(51)

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Thus, the derivative of V is

$$\dot{V} = \dot{V}_p + \dot{V}_{\psi} \le -c_{\psi} r_{\psi}^T r_{\psi} - c_p r_p^T r_p \le cV$$
(52)

where  $c = \min\{2c_p, 2c_\psi\}$ . Solving Eq. 52 generates

$$0 \le V \le V(0)e^{-ct} \tag{53}$$

where  $V(0) = \frac{1}{2}(r_p^T(0)r_p(0) + r_{\psi}^T(0)r_{\psi}(0))$  is the initial value.

Obviously,  $V \rightarrow 0$  as  $t \rightarrow \infty$ . This implies that  $r_p, r_{\psi} \rightarrow 0$  as  $t \rightarrow \infty$ . Subsequently, the tracking error  $\delta_{p_i}$  and  $\delta_{\psi_i}$  defined in Eqs. 16 and 23 also converge to zero asymptotically by definition. Thus, the closed-loop system can asymptotically track the reference trajectories  $p_d$  and  $\psi_d$ . With the help of Eq. 18 and Assumption 1 - 2, v and  $T_z$  are bounded.  $R_\eta$  and  $Q_\eta$  are also bounded since  $-\frac{\pi}{2} < \theta, \phi < \frac{\pi}{2}$ . Similarly, the signals  $\omega_x, \omega_y$  and  $\dot{T}_z$  are all bounded according to Eq. 18. Through Eq. 25, one can reach that  $\omega_z$  is bounded, and so is  $\dot{Q}_\eta$ . Thus, the two control inputs  $\tau$  and  $\ddot{T}_z$  are also bounded from Eq. 33.

*Proof of Theorem 2* Consider the following Lyapunov function candidate

$$\overline{V} = \overline{V}_p + \overline{V}_{\psi} \tag{54}$$

with

$$\overline{V}_p = \frac{1}{2}r_p^T r_p + e_p^T P e_p, \quad \overline{V}_{\psi} = \frac{1}{2}r_{\psi}^T r_{\psi}$$
(55)

Define the estimated filtered position tracking error as

$$\hat{r}_p = k_{p_0} \delta_{p_0} + k_{p_1} \delta_{p_1} + k_{p_2} \hat{\delta}_{p_2} + \hat{\delta}_{p_3}$$
(56)

If enforcing the output feedback controller (45), the filtered position tracking error dynamics (27) can be rewritten as

$$\dot{r}_{p} = -\tanh\left(\frac{\dot{r}_{p}}{\varepsilon_{p}}\right)\Theta_{p} + \Phi_{p} + k_{p_{0}}\delta_{p_{1}} + k_{p_{1}}\delta_{p_{2}} + k_{p_{2}}\delta_{p_{3}} - c_{p}\hat{r}_{p} - \left(k_{p_{0}}\delta_{p_{1}} + k_{p_{1}}\hat{\delta}_{p_{2}} + k_{p_{2}}\hat{\delta}_{p_{3}}\right) (57) = -\tanh\left(\frac{\hat{r}_{p}}{\varepsilon_{p}}\right)\Theta_{p} + \Phi_{p} + (k_{p_{1}} + c_{p}k_{p_{2}})e_{p_{2}} + (k_{p_{2}} + c_{p})e_{p_{3}} - c_{p}r_{p}$$

Thus, the derivative of  $\overline{V}_p$  can be given by

$$\begin{aligned} \dot{\overline{V}}_p &= r_p^T \dot{r}_p + e_p^{\dot{T}} P e_p + e_p^T P \dot{e}_p \\ &= -r_p^T \tanh\left(\frac{\hat{r}_p}{\varepsilon_p}\right) \Theta_p + r_p^T \Phi_p + (k_{p_1} + c_p k_{p_2}) r_p^T e_{p_2} \\ &+ (k_{p_2} + c_p) r_p^T e_{p_3} - c_p r_p^T r_p + \dot{e}_p^T P e_p + e_p^T P \dot{e}_p \end{aligned}$$
(58)

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Substituting Eqs. 40 and 42 into Eq. 58 generates

$$\dot{\overline{V}}_{p} = -r_{p}^{T} \tanh\left(\frac{\hat{r}_{p}}{\varepsilon_{p}}\right) \Theta_{p} + r_{p}^{T} \Phi_{p} - c_{p} r_{p}^{T} r_{p} + (k_{p_{1}} + c_{p} k_{p_{2}}) r_{p}^{T} e_{p_{2}} + (k_{p_{2}} + c_{p}) r_{p}^{T} e_{p_{3}} + e_{p}^{T} (A^{T} P + PA) e_{p} + 2e_{p}^{T} PB \Phi_{p} = -r_{p}^{T} \tanh\left(\frac{\hat{r}_{p}}{\varepsilon_{p}}\right) \Theta_{p} + r_{p}^{T} \Phi_{p} - c_{p} r_{p}^{T} r_{p} + (k_{p_{1}} + c_{p} k_{p_{2}}) r_{p}^{T} e_{p_{2}} + (k_{p_{2}} + c_{p}) r_{p}^{T} e_{p_{3}} - \lambda e_{p}^{T} e_{p} + 2e_{p}^{T} PB \Phi_{p}$$
(59)

Meanwhile, by resorting to Lemma 1, it is apparent that for the vector  $r_p \in \mathbb{R}^3$  and a positive constant  $\varepsilon_p > 0$ ,

$$0 \leq r_p^T \left( \operatorname{sgn}(r_p) - \tanh\left(\frac{r_p}{\varepsilon_p}\right) \right)$$
$$= |r_p| - r_p^T \tanh\left(\frac{r_p}{\varepsilon_p}\right) \leq 3\kappa\varepsilon_p \tag{60}$$

Thus, one further has

$$\begin{split} \dot{\overline{V}}_{p} &= -r_{p}^{T} \tanh\left(\frac{\hat{r}_{p}}{\varepsilon_{p}}\right) \Theta_{p} + r_{p}^{T} \tanh\left(\frac{r_{p}}{\varepsilon_{p}}\right) \Theta_{p} \\ &- r_{p}^{T} \tanh\left(\frac{r_{p}}{\varepsilon_{p}}\right) \Theta_{p} + r_{p}^{T} \operatorname{sgn}\left(r_{p}\right) \Theta_{p} \\ &- r_{p}^{T} \operatorname{sgn}\left(r_{p}\right) \Theta_{p} + r_{p}^{T} \Phi_{p} - c_{p} r_{p}^{T} r_{p} \\ &+ (k_{p_{1}} + c_{p} k_{p_{2}}) r_{p}^{T} e_{p_{2}} + (k_{p_{2}} + c_{p}) r_{p}^{T} e_{p_{3}} \\ &- \lambda e_{p}^{T} e_{p} + 2 e_{p}^{T} P B \Phi_{p} \\ &\leq -r_{p}^{T} \tanh\left(\frac{\hat{r}_{p}}{\varepsilon_{p}}\right) \Theta_{p} + r_{p}^{T} \tanh\left(\frac{r_{p}}{\varepsilon_{p}}\right) \Theta_{p} \\ &+ 3 \kappa \varepsilon_{p} \Theta_{p} - r_{p}^{T} \operatorname{sgn}\left(r_{p}\right) \Theta_{p} + r_{p}^{T} \Phi_{p} - c_{p} r_{p}^{T} r_{p} \\ &+ (k_{p_{1}} + c_{p} k_{p_{2}}) r_{p}^{T} e_{p_{2}} + (k_{p_{2}} + c_{p}) r_{p}^{T} e_{p_{3}} \\ &- \lambda e_{p}^{T} e_{p} + 2 e_{p}^{T} P B \Phi_{p} \\ &\leq 3 \kappa \varepsilon_{p} \Theta_{p} + r_{p}^{T} \left( \tanh\left(\frac{r_{p}}{\varepsilon_{p}}\right) - \tanh\left(\frac{\hat{r}_{p}}{\varepsilon_{p}}\right) \right) \Theta_{p} \\ &(k_{p_{1}} + c_{p} k_{p_{2}}) r_{p}^{T} e_{p_{2}} + (k_{p_{2}} + c_{p}) r_{p}^{T} e_{p_{3}} \\ &- c_{p} r_{p}^{T} r_{p} - \lambda e_{p}^{T} e_{p} + 2 e_{p}^{T} P B \Phi_{p} \end{split}$$

$$\tag{61}$$

With the help of Young's inequality and the fact that  $|\tanh (\cdot)| \le 1$ , Eq. 61 results in

$$\frac{\dot{V}}{\dot{V}_{p}} \leq 3\kappa\varepsilon_{p}\Theta_{p} + \frac{r_{p}^{T}r_{p}}{2\varepsilon_{1}} + 6\varepsilon_{1}\Theta_{p}^{2} - c_{p}r_{p}^{T}r_{p} \\
+ (k_{p_{1}} + c_{p}k_{p_{2}})\left(\frac{r_{p}^{T}r_{p}}{2\varepsilon_{2}} + \frac{\varepsilon_{2}e_{p_{2}}^{T}e_{p_{2}}}{2}\right) \\
+ (k_{p_{2}} + c_{p})\left(\frac{r_{p}^{T}r_{p}}{2\varepsilon_{3}} + \frac{\varepsilon_{3}e_{p_{3}}^{T}e_{p_{3}}}{2}\right) - \lambda e_{p}^{T}e_{p} \\
+ \varepsilon_{4}e_{p}^{T}e_{p} + \frac{\|PB\Phi_{p}\|^{2}}{\varepsilon_{4}} \\
\leq -\left(c_{p} - \frac{1}{2\varepsilon_{1}} - \frac{k_{p_{1}} + c_{p}k_{p_{2}}}{2\varepsilon_{2}} - \frac{k_{p_{2}} + c_{p}}{2\varepsilon_{3}}\right)r_{p}^{T}r_{p} \\
- \left(\lambda - \frac{(k_{p_{1}} + c_{p}k_{p_{2}})\varepsilon_{2}}{2} - \frac{(k_{p_{2}} + c_{p})\varepsilon_{3}}{2} - \varepsilon_{4}\right)e_{p}^{T}e_{p} \\
+ 3\kappa\varepsilon_{p}\Theta_{p} + 6\varepsilon_{1}\Theta_{p}^{2} + \frac{\|PB\Phi_{p}\|^{2}}{\varepsilon_{4}}$$
(62)

where  $\|\cdot\|$  denotes the  $L_2$ -norm, and  $\varepsilon$ ,  $\varepsilon_1$ ,  $\varepsilon_2$ ,  $\varepsilon_3$ ,  $\varepsilon_4 \in \mathbb{R}^+$ .

Similarly, with the controlled designed in Eq. 45, the filtered orientation tracking error dynamics can be rephrased to be

$$\dot{r}_{\psi} = -c_{\psi}r_{\psi} - \tanh\left(\frac{r_{\psi}}{\varepsilon_{\psi}}\right)\Theta_{\psi} + \Phi_{\psi}$$
(63)

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Hence, the derivative of  $\overline{V}_{\psi}$  can be given by

$$\begin{aligned} \dot{\overline{V}}_{\psi} &= r_{\psi}^{T} \dot{r}_{\psi} = -r_{\psi}^{T} \tanh\left(\frac{r_{\psi}}{\varepsilon_{\psi}}\right) \Theta_{\psi} + r_{\psi}^{T} \Phi_{\psi} - c_{\psi} r_{\psi}^{T} r_{\psi} \\ &\leq -c_{\psi} r_{\psi}^{T} r_{\psi} + \kappa \varepsilon_{\psi} \Theta_{\psi} \end{aligned}$$
(64)

Combining Eq. 62 with Eq. 64 delivers

$$\dot{\overline{V}} \leq -\left(c_p - \frac{1}{2\varepsilon_1} - \frac{k_{p_1} + c_p k_{p_2}}{2\varepsilon_2} - \frac{k_{p_2} + c_p}{2\varepsilon_3}\right) r_p^T r_p 
- \left(\lambda - \frac{(k_{p_1} + c_p k_{p_2})\varepsilon_2}{2} - \frac{(k_{p_2} + c_p)\varepsilon_3}{2} - \varepsilon_4\right) e_p^T e_p 
- c_{\psi} r_{\psi}^T r_{\psi} + \kappa \left(3\varepsilon_p \Theta_p + \varepsilon_{\psi} \Theta_{\psi}\right) + 6\varepsilon_1 \Theta_p^2 + \frac{\|PB\Phi_p\|^2}{\varepsilon_4} 
\leq -c_{p_1} r_p^T r_p - c_{p_2} e_p^T e_p - c_{\psi} r_{\psi}^T r_{\psi} + C$$
(65)

where  $c_{p_1}$  ,  $c_{p_2}$  ,  $C \in \mathbb{R}^+$  with

$$c_{p_{1}} = c_{p} - \frac{1}{2\varepsilon_{1}} - \frac{k_{p_{1}} + c_{p}k_{p_{2}}}{2\varepsilon_{2}} - \frac{k_{p_{2}} + c_{p}}{2\varepsilon_{3}}$$

$$c_{p_{2}} = \lambda - \frac{(k_{p_{1}} + c_{p}k_{p_{2}})\varepsilon_{2}}{2} - \frac{(k_{p_{2}} + c_{p})\varepsilon_{3}}{2} - \varepsilon_{4}$$

$$C = \kappa \left(3\varepsilon_{p}\Theta_{p} + \varepsilon_{\psi}\Theta_{\psi}\right) + 6\varepsilon_{1}\Theta_{p}^{2} + \frac{\|PB\Phi_{p}\|^{2}}{\varepsilon_{4}}$$
(66)

Hence,  $\overline{V}$  will becomes negative as long as

$$r_p \notin \Omega_{r_p} = \left\{ r_p \left| \|r_p\| \le \sqrt{\frac{C}{c_{p_1}}} \right\}$$
(67)

or

$$e_p \notin \Omega_{e_p} = \left\{ e_p \Big| \|e_p\| \le \sqrt{\frac{C}{c_{p_2}}} \right\}$$
(68)

or

$$r_{\psi} \notin \Omega_{r_{\psi}} = \left\{ r_{\psi} \left| \| r_{\psi} \| \le \sqrt{\frac{C}{c_{\psi}}} \right\}$$
(69)

According to the standard Lyapunov analysis, one has that the filtered tracking errors  $r_p$ ,  $r_{\psi}$ , and the observer error  $e_p$  are uniformly ultimately bounded (UUB). Furthermore, the tracking errors can be arbitrarily reduced by increasing control gains  $c_{\psi}$ ,  $c_p$ ,  $h_1$ ,  $h_2$  and  $h_3$ . By following the similar analysis in Proof of Theorem 1, the signals  $T_z$ ,  $\dot{T}_z$ ,  $\omega$  are bounded as well as all the elements of  $R_\eta$ ,  $Q_\eta$  and  $\dot{Q}_\eta$ . Furthermore, the estimation signals  $\hat{\delta}_{p_2}$ ,  $\hat{\delta}_{p_3}$  and  $\hat{r}_p$ are bounded since the observer error vector  $e_p$  is bounded. Thus, the control signals  $\ddot{T}_z$  and  $\tau$  are proved to be bounded from Eq. 45.

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